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NO. 173 P.5

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,987	06/08/2001	Robert M. Townsend	D0009NP

23914
 MARLA J MATHIAS
 BRISTOL-MYERS SQUIBB COMPANY
 PATENT DEPARTMENT
 P O BOX 4000
 PRINCETON, NJ 08543-4000

CONFIRMATION NO. 1712

FORMALITIES LETTER



OC000000006416888

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

ENTERED

due: 10/13/01

1st month / final: 2/13/02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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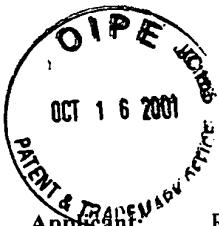
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

PBS
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY



*Sector 5
Box 1 Seq.*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Robert M. Townsend et al.

Serial No.:

09/877,987

Filed:

June 8, 2001

Docket:

D0009NP/30436.53USU1

Title:

METHODS FOR REGULATING A CELL-MEDIATED IMMUNE RESPONSE BY BLOCKING LYMPHOCYTIC SIGNALS AND BY BLOCKING LFA-1 MEDIATED ADHESION

CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on October 11, 2001.

By: *Richelle Anh Domingo*
Name: Richelle Anh Domingo

35 N. Arroyo Parkway, Suite 60
Pasadena, California 91103
October 11, 2001

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- Communication in Response to Notice to File Missing Parts of Application
- A copy of Notice to File Missing Parts of Application
- Exhibit 1 - Original Combined Declaration and Power of Attorney signed by inventors – 3 sets
- Exhibit 2 – Paper copy of the Sequence Listings
- Exhibit 3 – Declaration Pursuant to 37 CFR §1.82(f)
- Amendment in Response to Office Communication Dated August 13, 2001
- Exhibit 4 –Marked up version pertaining to Amendment in Response to Office Communication Dated August 13, 2001
- Computer Readable form of Sequence Listings in a disk
- A check in the amount of \$130.00
- Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO
35 No. Arroyo Parkway, Suite 60
Pasadena, California 91103
(626)395-7801

By: *Sarah B. Adriano*
Name: Sarah B. Adriano
Reg. No.: 34,470
Initials: SBA
Customer No.: 26941



DKT. D0009NP; 30436.53USU1/SBA/TYL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert M. Townsend et al.

Serial No : 09/877,987

Filed : June 8, 2001

For : METHODS FOR REGULATING A CELL-MEDIATED
IMMUNE RESPONSE BY BLOCKING LYMPHOCYTIC
SIGNALS AND BY BLOCKING LFA-1 MEDIATED
ADHESION

35 No. Arroyo Parkway
Pasadena, California 91103
October 11, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231
Box Missing Parts

SIR:

**COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

This Communication is submitted in response to a Notice to File Missing Parts of Nonprovisional Application issued in connection with the above-identified application on August 13, 2001.

In accordance with the Notice, the deadline for submitting the Declaration is October 13, 2001. Accordingly, this response is being timely filed.

Applicants hereby submit their Declarations and Powers of Attorney pursuant to 37 C.F.R. §1.53 (b) and in compliance with 37 C.F.R. §1.63 (Exhibit 1). The Declarations refer to the application's above-identified serial number and filing date.

Robert M. Townsend et al.
U.S. Serial No. 09/745,605
Filed: December 22, 2000
Page 2

Applicants hereby submit paper copies disclosing Sequence listing 1-9 (Exhibit 2) in accordance with to 37 C.F.R. §1.821(c), 1.822 and 1.823, a copy of Sequence Listing 1-9 in computer readable form in accordance with 37 C.F.R. §1.821(e) and 1.824, and a Declaration Under 37 C.F.R. §1.821(f) (Exhibit 3) attesting that the content of the paper and computer readable copies are the same. No new matter is presented by these submissions. Accordingly, entry of the Sequence Listings is respectfully requested.

The surcharge for responding to the Notice to File Missing Parts of Nonprovisional Application is \$130.00. A check in the amount of \$130.00 is enclosed. No other fee is deemed necessary in connection with the filing of this response. However if any additional fee is required, Applicants hereby authorize the Patent Office to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,



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